



RHODES ASSET
MANAGEMENT

RHODES FINANCIAL GROUP

Privacy Policy

Rhodes Asset Management Ltd

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| Company: | Rhodes Asset Management Ltd |
| ACN: | 165 917 813 |
| AFSL: | 464772 |
| Date Updated: | 28 September 2021 |



Introduction

Rhodes Asset Management Ltd and our related bodies (referred to in this document as 'we', 'us' or 'our') are committed to managing your personal information in accordance with the Australian Privacy Principles under the Privacy Act 1988 (Cth) and in accordance with other applicable privacy laws.

This document sets out our policies for managing your personal information and is referred to as our Privacy Policy. This Privacy Policy explains how we collect, hold, use, disclose and protect personal information.

What information do we collect about you?

The type of personal information that we collect will vary depending on the circumstances of collection and the kind of service that you request from us, but will typically include:

- your name;
- contact details; and
- any additional personal information you provide to us, or authorise us to collect,

as part of your interaction with us.

How do we use and disclose your personal information?

We will collect personal information as reasonably necessary for us to carry out our business and to provide our products and services. We also use your personal information to comply with Australian taxation laws, Anti-Money Laundering and Counter-Terrorism laws and all other applicable laws; and discloses personal information to various law enforcement agencies, regulatory authorities and governments.

Additionally, your personal information may also be used for secondary purposes such as for the provision of promotional and marketing material. You can notify us at any time if you do not wish to receive such materials or information. Where we collect your personal information for a specific purpose not contemplated in this Privacy Policy, we will provide you with a collection notice which explains the primary purpose and any related secondary purposes for which your personal information is being collected.

We may also collect information based on how you use our website (www.rhodesam.com.au). We use "cookies" and other data collection methods to collect information on website activity, such as the number of visitors and the number of pages viewed. This information can be collected to analyse and improve our website and to record statistics on web traffic. We do not use this information to personally identify you.

We do not actively seek to collect sensitive information (for example health information or information about any criminal record), unless it is necessary for our business purposes. If we do have to collect sensitive information, we will only collect, use and disclose it in accordance with privacy laws.

How do we store your personal information?

We aim to take all reasonable steps to protect your personal information from misuse, loss, unauthorised access, interference, modification or disclosure.

We have physical, electronic and procedural safeguards to protect your information which is held by us. For example, your personal information is stored in secured offices and access to it is protected by a range of security measures such as secure authentication, password controls, encryption, firewalls and anti-virus technology. Access to personal information is also restricted to staff whose job purpose requires access to it and we also require all employees to maintain strict confidentiality at all times regarding the personal information of our investors.

Where the personal information we collect is no longer required, we use secure methods to permanently destroy or de-identify any personal information in accordance with this privacy policy and all applicable laws.



Accessing and updating your personal information

If you require access to or wish to update your personal information, please contact us:

By phone: 1300 425 594

By e-mail: admin@rhodesam.com.au

By post: Rhodes Asset Management Ltd
PO Box 1753
Capalaba QLD 4157

If you believe that the personal information we hold about you is inaccurate, incomplete or out of date, you should contact us to correct this information. We aim to promptly update any personal information that is inaccurate, incomplete or out of date. If we refuse to correct your personal information, we will provide you with a written notice that sets out the reasons for our refusal (unless it would be unreasonable to provide those reasons) and provide you with a statement on what you can do if you are not satisfied with our response.

Complaints and feedback

If you wish to make a complaint about a breach of the Privacy Act, Australian Privacy Principles or a privacy code that applies to us, please contact us as set out below and we will take reasonable steps to investigate the complaint and respond to you.

If you have any queries or concerns about our privacy policy or the way we handle your personal information, please contact us:

By phone: 1300 425 594

By e-mail: complaints@rhodesam.com.au

By post: Rhodes Asset Management Ltd
PO Box 1753
Capalaba QLD 4157

Australian Privacy Commissioner

If you are not satisfied with our response, you may contact the Australian Privacy Commissioner:

Telephone: 1300 363 992

Email: enquiries@oaic.gov.au

Changes to this Privacy Policy

This Privacy Policy is dated 28 September 2021. This document replaces any other Privacy Policy published by us. We may make changes to this Policy from time to time. We encourage you to review our website regularly to ensure that you are reviewing the most current version of our Privacy Policy.

More information

For more information about privacy in general, you can visit the Office of the Australian Information Commissioner's website at www.oaic.gov.au.